

## REVIEW ARTICLE | OPEN ACCESS

# RISK, SAFE, and eNOS pathways in conditioning cardiac protection

Tatiana I. Baranich<sup>1</sup>, Vladimir S. Sukhorukov<sup>1,2</sup>, Valeria V. Glinkina<sup>1</sup>, Dmitriy N. Atochin<sup>3</sup>

Ischemic and reperfusion injury can be reduced by cardiac preconditioning and postconditioning. The mechanisms underlying preconditioning and postconditioning protection may involve the activation of several cardioprotective survival pathways. This article reviews (1) the role of the reperfusion injury salvage kinase (RISK) pathway, survivor activating factor enhancement (SAFE) pathway, and endothelial nitric oxide synthase (eNOS) pathway in conditioning cardiac protection, (2) mechanisms by which these signaling pathways interact, and (3) the physiological consequences of these interactions. A clear understanding of the mechanisms underlying preconditioning and postconditioning protection is necessary for the development of molecular-targeted therapy with cardioprotective potential.

### Introduction

The clinical treatment of acute myocardial infarction focuses on restoring coronary artery blood flow, either using pharmacologic agents (thrombolysis, anti-platelet agents) or by mechanical means (percutaneous coronary intervention or coronary artery bypass graft surgery) (Pong et al., 2014). However, reperfusion following ischemia can be associated with significant tissue damage, due to rapid changes in pH, Ca<sup>2+</sup> overload, generation of reactive oxygen species, and opening of the mitochondrial permeability transition pores (Sanada et al., 2011). Ischemic and reperfusion injury can be reduced by cardiac preconditioning and postconditioning.

### Paradigms for cardioprotection

It has been shown that several short cycles of non-injurious ischemia and reperfusion significantly protect from a subsequent sustained ischemic insult (Murry et al., 1986). This phenomenon was defined as “ischemic preconditioning” (IPC). The concept of IPC has further evolved into “ischemic conditioning”, a broader term that encompasses a number of related endogenous cardioprotective strategies. Ischemic preconditioning is considered the most powerful intervention available to protect the heart against myocardial ischemia reperfusion injury (IRI) and has become the paradigm of choice for cardioprotection (Rossello et al., 2017).

The mechanisms underlying the effects of preconditioning and postconditioning involve the activation of cardioprotective

survival pathways, including extracellular signal regulated kinases 1/2 (ERK1/2) and Akt kinase (together termed the reperfusion injury salvage kinases or RISK pathway) and the tumor necrosis factor alpha (TNF $\alpha$ ) and signal transducer and activator 3 (STAT3) pathways (together termed the survival activating factor enhancement or SAFE pathways) (Pong et al., 2014). Both the RISK and SAFE cardioprotective pathways may activate endothelial nitric oxide synthase (eNOS), which may serve an additional signaling role in mediating postconditioning protection (Kitakaze and Hori; 1998, Liu et al., 1991; Otani, 2009).

### The RISK pathway

Activation of the RISK pathway occurs during two phases, which are crucial in mediating protection – the preconditioning phase (prior to the ischemic episode, this phase is also known as the “trigger phase”) (Yellon and Downey, 2003) and at the reperfusion phase (Hausenloy et al., 2017). The RISK pathway is a combination of two parallel cascades, the phosphatidylinositol-4,5-bisphosphate 3-kinase (PI3K)/Akt and MAP kinase kinase 1 (MEK1)/ERK1/2 pathways. The PI3K/Akt signaling cascade activates a series of events: the activation of PI3K results in the phosphorylation of pyruvate dehydrogenase kinase 1 (PDK1), which in turn activates Akt to subsequently recruit a wide range of pro-survival downstream targets such a glycogen synthase kinase-3 beta (GSK-3 $\beta$ ), ribosomal protein s6 kinase (p70s6k) and eNOS (Oudit, Penninger, 2009).

<sup>1</sup>Pirogov Russian National Research Medical University, Moscow, Russia, <sup>2</sup>Research Center of Neurology, Moscow, Russia, <sup>3</sup>Cardiovascular Research Center, Massachusetts General Hospital, Harvard Medical School, Charlestown, MA, United States.

Correspondence should be addressed to Dmitriy N. Atochin (atochin@cvrc.mgh.harvard.edu).

Phosphorylation of GSK-3 $\beta$ (Ser9), a down-stream target of Akt and ERK1/2, inhibits GSK-3 $\beta$  and enhances myocardial survival against ischemia-reperfusion injury (IRI) (Rossello et al., 2017). The activation of the PI3K/Akt pathway inhibits the mitochondrial permeability transition pore (MPTP) opening and leads to activation of the mitochondrial ATP-dependent potassium channel, resulting in decreased cell death (Lacerda et al., 2009). It is believed that MPTP, which opens within the first 15 min of reperfusion, is the underlying target for protection against reperfusion injury (Rossello and Yellon, 2018).

Phosphorylation of PI3K/Akt kinases is controlled by the activation of phosphatase and tensin homolog (PTEN), which is a dual lipid and protein phosphatase that antagonizes the PI3K/Akt signaling pathway (Rossello et al., 2017). Whereas PI3K activity results in an increased production of the second messenger phosphatidylinositol (3,4,5)-triphosphate (PIP3) to activate the pro-survival downstream cascade, PTEN dephosphorylates PIP3 to phosphatidylinositol 4,5-bisphosphate (PIP2) to down-regulate Akt activation (Rossello et al., 2017). It has been shown that there is a reduction in the activity of PTEN following IRI in an isolated perfused rat heart model (Cai and Semenza, 2005). Other data have shown that ischemic preconditioning (IPC) induces inhibition of PTEN. It has been suggested that IPC not only activates pro-survival kinases but also inhibits their major counter regulators (Rossello et al., 2017).

It has been shown that short-term activation of these RISK kinases is protective, triggering downstream pro-survival pathways (Rossello et al., 2017), whereas long-term activation is considered to be harmful due to their growth-inducing effects and induction of cardiac hypertrophy (Nagoshi et al., 2005). The RISK pathway is activated by both IPC and postconditioning strategies (Hausenloy et al., 2017). Pharmacological agents such as growth factors, natriuretic peptides, estrogen, volatile anesthetics, and statins (Hausenloy and Yellon, 2007) show a cardioprotective potential which is linked to the involvement of the RISK pathway.

### The SAFE pathway

The SAFE pathway, which involves TNF $\alpha$ , janus kinase (JAK), and STAT3, has been described as an alternative RISK-independent cascade mediating cardioprotective effects, which is related to STAT3 translocation to the mitochondria by modulating electron transport chain activity and inhibiting the MPTP opening (Boengler et al., 2010). Through inhibition of the MPTP opening, the SAFE pathway promotes cardiomyocyte survival (Hadebe et al., 2018). Activated STAT3, which is present in both the nucleus and the mitochondria during ischemia-reperfusion (Wegrzyn et al., 2009; Szczepanek et al., 2015), interacts with nuclear factor kappa B signaling to upregulate mitochondrial fusion by activation of optic atrophy 1 expression (Nan et al., 2017). Several publications have shown that STAT5, but not STAT3 may play a critical role for cardioprotection in humans (Davidson et al., 2012; Heusch et al., 2017). Interestingly, in children undergoing tetralogy of Fallot repair, remote IPC was associated with the activation of both STAT3 and STAT5 (Wu et al., 2017). Inhibition of STAT3 (byAG490) inhibits STAT3 phosphorylation and abolishes IPC-induced cardioprotection (Gent et al., 2017). Also, administration of a JAK/STAT3 pathway inhibitor suppresses the phosphorylation of STAT3, and results in an increased number of apoptotic cells (Xuan et al., 2001), therefore suggesting a cardioprotective role of STAT3.

### eNOS

The signaling cascade based on protein kinase G and involving nitric oxide has also been proposed to mediate cardioprotection (Cohen and Downey, 2007; Rossello et al., 2018). eNOS is

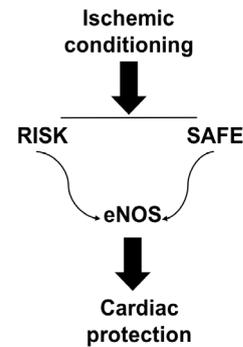


Figure 1. Schematic diagram of conditioning-induced cardiac protection. Ischemic conditioning may involve the RISK and SAFE pathways activating eNOS in promoting cardiac protection.

known to be activated by the RISK and SAFE cardioprotective pathways, and is thought to play signaling roles in mediating postconditioning protection (Kitakaze and Hori, 1998; Liu et al., 1991; Otani, 2009). Despite its well-known role as an endogenous vasodilator, a direct role for eNOS-derived NO in improving microvascular regional blood flow after postconditioning has not been demonstrated. In a recent study it was shown that eNOS knockout mice do not show any improvement from postconditioning, and myocardial contrast echocardiography replenishment curves showed more pronounced defects in reperfusion compared with wild-type mice (Pong et al., 2014). Furthermore, the finding that Akt phosphorylation is still increased in eNOS knockout mice, while postconditioning protection is not observed, suggests that eNOS activity is required for the protective effects of Akt pathway activation (Pong et al., 2014). These results suggest that effects on regional microvascular blood flow and reperfusion, mediated by eNOS, may interact with known cardioprotective mechanisms to modulate tissue outcome, and that cardioprotection may require a minimum degree of reperfusion to protect tissues.

### Conclusion

A clear understanding of the mechanisms underlying preconditioning and postconditioning is necessary for the development of molecular-targeted therapy with cardioprotective potential, which is linked to the involvement of the RISK, SAFE, and eNOS pathways. A crucial role of these pathways in cardioprotection may help uncover important therapeutic targets for the treatment of acute myocardial infarction.

### Acknowledgements

This work was supported by grant from the National Institutes of Health NINDS R01 NS-096237 to D. N. A.

### References

- Boengler K, Hilfiker-Kleiner D, Heusch G, Schulz R (2010) Inhibition of permeability transition pore opening by mitochondrial STAT3 and its role in myocardial ischemia/reperfusion. *Basic Res Cardiol*. 105:771–85.
- Cai Z, Semenza GL (2005) PTEN activity is modulated during ischemia and reperfusion: involvement in the induction and decay of preconditioning. *Circ Res*. 97:1351–9.
- Cohen MV, Downey JM (2007) Cardioprotection: spotlight on PKG. *Br J Pharmacol* 152:833–834.
- Davidson SM, Yellon DM (2012) STAT5 fits the RISK profile for cardioprotection. *JAK-STAT* 1:73–76.
- Gent S, Skyschally A, Kleinbongard P, Heusch G (2017) Ischemic preconditioning in pigs: a causal role for signal transducer and activator of transcription3. *American*

- journal of physiology Heart and circulatory physiology. 312(3):H478–H484.
- Hadebe N, Cour M, Lecour S (2018) The SAFE pathway for cardioprotection: Is this a promising target? *Basic Res Cardiol* 113:9.
- Hausenloy DJ, Tsang A, Mocanu MM, Yellon DM (2005) Ischemic preconditioning protects by activating prosurvival kinases at reperfusion. *Am J Physiol Heart Circ Physiol*. 288:H971–6.
- Hausenloy DJ, Yellon DM (2007) Reperfusion injury salvage kinase signaling: Taking a RISK for cardioprotection. *Heart Fail Rev* 12:217–34.
- Heusch G, Musiolik J, Kottenberg E, Peters J, Jakob H, Thielmann M (2012) STAT5 activation and cardioprotection by remote ischemic preconditioning in humans: short communication. *Circ Res* 110:111–115.
- Kitakaze M, Hori M (1998) It is time to ask what adenosine can do for cardioprotection. *Heart Vessels* 13:211–228.
- Lacerda L, Somers S, Opie LH, Lecour S (2009) Ischaemic postconditioning protects against reperfusion injury via the SAFE pathway. *Cardiovasc Res* 84:201–208.
- Liu GS, Thornton J, Van Winkle DM, Stanley AW, Olsson RA, et al. (1991) Protection against infarction afforded by preconditioning is mediated by A1 adenosine receptors in rabbit heart. *Circulation* 84:350–356.
- Murry CE, Jennings RB, Reimer KA (1986) Preconditioning with ischemia: a delay of lethal cell injury in ischemic myocardium. *Circulation* 74:1124–1136.
- Nagoshi T, Matsui T, Aoyama T, Leri A, Anversa P, Li L, Ogawa W, Del-Monte F, Gwathmey JK, Grazette L, Hemmings BA, Hemmings B, Kass DA, Champion HC, Rosenzweig A (2005) PI3K rescues the detrimental effects of chronic Akt activation in the heart during ischemia/reperfusion injury. *J Clin Invest* 115:2128–2138.
- Nan J, Hu H, Sun Y, Zhu L, Wang Y, Zhong Z, Zhao J, Zhang N, Wang Y, Wang Y, Ye J, Zhang L, Hu X, Zhu W, Wang J (2017) TNFR2 stimulation promotes mitochondrial fusion via Stat3- and NF- $\kappa$ B-dependent activation of OPA1 expression. *Circ Res* 121:392–410.
- Otani H (2009) The role of nitric oxide in myocardial repair and remodeling. *Antioxid Redox Signal* 11:1913–1928.
- Oudit GY, Penninger JM (2009) Cardiac regulation by phosphoinositide 3-kinases and PTEN. *Cardiovasc Res*. 82:250–60
- Pong, T, Scherrer-Crosbie M, Atochin DN, Bloch KD, Huang PL (2014) Phosphomimetic modulation of eNOS improves myocardial reperfusion and mimics cardiac postconditioning in mice. *PLoS One* 9:p. e85946.
- Rossello X, Riquelme JA, He Z, Taferner S, Vanhaesebroeck B, Davidson SM, Yellon DM (2017) The role of PI3K $\alpha$  iso-form in cardioprotection. *Basic Res Cardiol* 112:66.
- Rossello X, Yellon DM (2017) The risk pathway and beyond. *Basic Res. Cardiol.* 113:2.
- Sanada S, Komuro I, Kitakaze M (2011) Pathophysiology of myocardial reperfusion injury: preconditioning, postconditioning, and translational aspects of protective measures. *Am J Physiol Heart Circ Physiol* 301:H1723–1741.
- Szczepanek K, Xu A, Hu Y, Thompson J, He J, Larner AC, Salloom FN, Chen Q, Lesnefsky EJ (2015) Cardioprotective function of mitochondrial-targeted and transcriptionally inactive STAT3 against ischemia and reperfusion injury. *Basic Res Cardiol* 110:53
- Wegrzyn J, Potla R, Chwae YJ, Sepuri NB, Zhang Q, Koeck T, Derecka M, Szczepanek K, Szelag M, Gornicka A, Moh A, Moghaddas S, Chen Q, Bobbili S, Cichy J, Dulak J, Baker DP, Wolfman A, Stuehr D, Hassan MO, Fu XY, Avadhani N, Drake JI, Fawcett P, Lesnefsky EJ, Larner AC (2009) Function of mitochondrial Stat3 in cellular respiration. *Science* 323:793–797.
- Xuan YT, Guo Y, Han H, Zhu Y, Bolli R (2001) An essential role of the JAK-STAT pathway in ischemic preconditioning. *Proc Natl Acad Sci* 98:9050-5.
- Yellon DM, Downey JM. (2003) Preconditioning the myocardium: from cellular physiology to clinical cardiology. *Physiol Rev*. 83:1113–51.